



FPC-005 CHAIN OF AUDIT ASSEMENT STANDARD





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Title: Forest Protection Council (FPC)

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ABOUT US

One of the main objectives of the Forest Conservation Council (FPC) is to work to maintain the continuity of natural life by protecting forests. In this context, the Forest Conservation Council (FPC) aims to protect and reproduce forests by developing various standards regarding forest protection and forest management, encouraging producers and consumers to sustainable forestry.

The Forest Protection Council (FPC) is an independent, non-government organization established to support environmentally appropriate, socially beneficial, and economically viable management of the world's forests.

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1- OBJECTIVE-SCOPS

The goal of this standard is to provide FPC-accredited certification bodies (CAB) with the requirements to be followed when auditing FPC organizations in accordance with the applicable requirements of the FPC specification framework, and to integrate the survey results to make reliable certification decisions. Therefore, the standard aims to reduce the level of subjectivity and improve the consistency between the levels implemented by different CABs in different situations.

This document specifies the requirements and procedures that CAB should follow to assess and audit the chain of operations to determine that it meets the applicable certification requirements.

2-GENERAL

- A series of audit certificates issued by FPC-accredited certification bodies provide credible assurance that all audit operations within the scope of the certificate comply with all applicable requirements of the relevant FPC normative documents. In order to provide this guarantee, the certification body shall:
 - a-) Analyze and describe the audit operation certificate chain of one or more operation sites to be assessed;
 - b-) Where applicable, sampling of operating sites, non-certified suppliers, contractors, documents, management records and personnel interviews is sufficient to verify that the control system is effectively and consistently implemented throughout the scope of the certificate;
 - c-) Confirmation that there is a control system to ensure that all applicable requirements are implemented at each operating site, including non-certified suppliers as part of the controlled wood and recycled materials verification program and contractors as part of the outsourcing agreement, as assessed within the scope ;
 - d-) Confirm that the organization has adequately resolved any nonconformities within the established timetable.
- The certification body shall analyze and describe the operation site and its management structure and system within the assessment scope.

- The certification body shall, in accordance with its documented procedures, determine the audit time required to complete each assessment of the organization's audit control system chain, covering the requirements applicable to the scope of the certificate.
- The certification body shall complete the analysis of organizational management control to ensure that all applicable certification requirements are implemented in the entire audit operation chain, including the identification and analysis of critical control points.
- The certification body shall conduct a surveillance evaluation at least once a year to monitor the organization's continued compliance with all applicable certification requirements.
- For certificates with a five-year validity period, at least four supervision and evaluations shall be carried out before the expiration of the certificate.
- The certification body shall evaluate the organization's procedures in accordance with the requirements of the relevant new standards at the regularly scheduled supervision and audit time.
- When a new or revised certification standard becomes effective, if the organization's chain of custody certificate is suspended beyond the scheduled time for its regular surveillance audit, the certification body shall evaluate the organization's procedures to ensure that they comply with the relevant new standards. Cancel the standard requirements during suspension.
- The certification body shall record all identified non-conformities in the assessment report or related checklist.
- Five or more requests for major corrective actions by the certification body to the central office of a group will result in the suspension of the entire certificate.
- Certificates should be issued to organizations that have direct management responsibility for the chain of audit system under their control.

- The certification body shall not accept information or documents containing only the organization's and/or supplier's declaration of conformity as evidence of the organization's compliance with applicable requirements.
- The certification body shall verify the correct use of the applicable FPC risk assessment.
- The certification body shall verify whether the organization has reviewed the continuous correctness and relevance of its risk assessment and revised it when necessary.
- If the risk assessment process and risk designation meet the applicable requirements, the certification body shall approve the organization's risk assessment of its existing supply area and/or expand to the new supply area.
- If applicable, the certification body shall notify the FPC program (info@forestprotection.com) about the organization's participation in the FPC test program.
- If the certification body confirms that the risk assessment result of one organization is inconsistent with the risk assessment result of another organization in the same field, the more rigorous, accurate and/or preventive risk assessment shall prevail.
- If the certification body receives a comment or complaint about the risk assessment, the certification body shall forward it to the responsible agency.
- The certification body shall record its evaluation results and conclusions in the report according to the requirements specified in this standard, regardless of
- Whether to issue a chain of custody certificate for production and sales.
- The chain of audit report can be written in any language according to the convenience of the customer and the requirements of the decision-making entity of the certification body.

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- FPC reserves the right to require the translation of any chain of audit report into an official language of the FPC at the expense of the certification body to evaluate the implementation of FPC requirements.
- Minimum content of evaluation reports (FPC - TABLE.012)

